



DEP e REF 307
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EXPRESS MAIL NO.: EM 061 021 450 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Mauro et al.

#5

Serial No.: 09/292,552

Art Unit: 2761

Filed: April 15, 1999

Examiner: To Be Assigned

For: COMPUTER TRADING SYSTEM, METHOD AND INTERFACE Attorney Docket No: 9628-006

REQUEST UNDER 37 CFR § 1.27(a) TO ESTABLISH SMALL ENTITY STATUS
AND
REQUEST FOR REFUND UNDER 37 CFR § 1.28(a)

RECEIPT ACCOUNTING
DIVISION

1999 JUN 21 AM 9:27

Assistant Commissioner for Patents
Washington, D.C. 20231
Sir:

Submitted herewith is a Verified Statement (Declaration) Claiming Small Entity Status under 37 C.F.R. §§ 1.9(f) and 1.27(c) for Wit Capital Corporation, assignee of the above-identified application. It is respectfully requested that the application be accorded Small Entity Status.

An application fee of \$2,518.00 was timely paid on April 15, 1999, in connection with the above-identified application from Pennie & Edmonds LLP Deposit Account No. 16-1150, of which \$1,259.00 is in excess of the amount now required. Pursuant to 37 CFR § 1.28(a) Applicants request a refund of the excess amount within two months of its timely payment. Accordingly, Applicants hereby request that the amount of \$1,259.00 be credited to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Michael L. Harris (Reg. No. 36,859)

Date: June 15, 1999

F. E. Morris

Francis E. Morris

24,615

(Reg. No.)

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